



## **Main trading venues and brokers selection**

**CaixaBank, S.A.**



**VERSION CONTROL**

Version	Date	Control
1	23/10/2017	<i>Version approved by the Board of Directors</i>
2	25/10/2018	<i>Update approved by the Board of Directors</i>
3	30/01/2020	<i>Update approved by the Board of Directors</i>
4	18/02/2021	<i>Update approved by the Board of Directors</i>
5	24/03/2022	<i>Update approved by the Board of Directors</i>
6	23/03/2023	<i>Update approved by the Board of Directors</i>
7	27/06/2024	<i>Update approved by the Board of Directors</i>

## MAIN TRADING VENUES AND BROKERS SELECTION

### EQUITY

Execution venues
Bolsas y Mercados Españoles – BME (XBAR,MABX, XLAT, XVAL, XBIL, XMAD, GROW, ...)
Euronext Amsterdam (XAMS, TNLA, ...)
Euronext Brussels (XBRU, ALXB, MLXB, TNLB, VPXB, XBRD, ...)
Euronext Lisbon (XLIS, ALXL, ENXL, ...)
Euronext Paris (XPAR, ALXP, ...)
Wiener Borse (WBAH, WBDM, ...)
Deutsche Borse (XETR, XFRA, XETA, XETS, XETB, ...)
Borsa Italiana (MTAA, ETFP, MTAH, XMIL, ...)
London Stock Exchange (XLON, AIMX, XLOM, ...)
Euronext Dublin ((XMSM, XESM, ...)
Nasdaq OMX (Helsinki, Stockholm y Copenhagen) (XCSE, XHEL, XSTO, ...)
First North (FNDK, FNFI, FNSE, ...)
Oslo Bors (XOSL, XOAS, XOSC, ...)
SIX Swiss Exchange (XSWX, XSWM ...)
Athens Stock Exchange (XATH, ENAX, ...)
ASX All Markets (Australian Securities Exchange. XASX, ASXT, ...)
Canadá (XTSE, NEOE, PURE, XATS, XCX2, LYNX, OMGA, XTNX, ...)
Osaka Securities Exchange (XOSE, ...)
Tokyo Stock Exchange (XTKS, ...)
Singapore Exchange ( XSES, ...)
New York Stock Exchange NYSE (XNYS, XCIS, ...)
Nasdaq (XNAS,XNMS, ...)
EEUU (ARCX, EDGA, EDGX, IEXG, XASE, XBOS, XCHI, XPSX, IEXD, ...)
Pink Sheet EEUU (PINX, ...)
Hong Kong Exchange and Clearing (XHKG, ...)
Australian Securities Exchange (XASX, ...)
Turquoise (TQEX, TRQX, TRQM, TQEM, ...)
Cboe (BATE, CEUX, LISX, BATD, BATF, BATY, BATP, CHID, CHIO, CHIX, BOTC BATS, CEUD, CHIC, BEUP, LISZ, CEUO, ...)
Aquis (AQEU, AQXE, EQED, AQXA, AQXD, AQEA, ...)
Equiduct (EQTA, EQTB, ...)
Sigma X (SGMX, SGMY, SGMT, SGMU, ...)
Citadel (CCEU, EUCC, CDED, ...)

Morgan Stanley SI (MSSI, MSTX, MESI, MSPL, MSEU, ...)  
 Tower Research Capital Europe (TRSI, TOWR, ...)  
 Citigroup (CGET, ...)  
 Members Exchange (MEMX, ...)  
 Miax Pearl Equities (EPRL, ...)  
 XTX Markets SAS (XTXE, XTXM, ...)  
 HRTEU Limited (HREU, ...)  
 UBS (UBSA, UBSI, UBSY, ...)  
 Goldman Sachs Bank Europe SE - Systematic Internaliser (GSEI, ...)  
 Hudson River Trading (HRSI, HRTF, ...)  
 Posit (XPOS, XPOL, ...)  
 Jane Street (JSSI, ...)

#### Financial Institutions

Morgan Stanley & CO PLC / Morgan Stanley Bank International Limited  
 Goldman Sachs International  
 Citigroup  
 UBS

## DERIVATIVES & FX

#### Execution venues

MEFF Exchange (Mercado Español de Futuros Financieros)  
 EUREX Deutschland (XEUP, XEUR ...)  
 New York Mercantile Exchange  
 Chicago Mercantile Exchange  
 Chicago Board of Trade  
 Commodities Exchange Center – COMEX (XCEC, ...)  
 Bloomberg Platform  
 Tradeweb

360T  
 Brokertec  
 EBS Service Company  
 CIMD  
 ICAP Europe  
 Tradition (UK) LTD.  
 TP ICAP (Europe)  
 FXALL  
 Aurel BGC  
 HPC SA  
 CaixaBank

#### Financial Institutions

Santander ETDS (Exchange Trade Derivatives)  
 Altura Markets  
 BGC  
 Capital Markets  
 CIMD  
 GFI Brokers  
 Key Capital Partners  
 Tradition (TFS - ICAP LTD)  
 JB Drax Honore

## FIXED INCOME

#### Execution venues

Sistema Español de Negociación de Activos Financieros (SENAF)  
 Asociación de Intermediarios de Activos Financieros (AIAF) a través del Sistema Electrónico de Negociación de Deuda (SEND)  
 Mercado Electrónico de Renta Fija (MERF)  
 Mercado Alternativo de Renta Fija (MARF).  
 Bloomberg Platform  
 MTS España

EuroMTS  
Broker Tec  
Euronext (XLIS, XPAR, XAMS ...)  
Market Axess  
CaixaBank

#### Financial Institutions

Altura Markets  
BGC  
Capital Markets  
CIMD  
Finacor  
GFI Brokers  
ICAP Europe Limited  
Tressis SV  
Tradition

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