



Financial instruments under the scope of CaixaBank Best Execution Policy

Version	v.2024
Last update and approval	27 th June 2024
Responsible area at CaixaBank	Dirección Financiera

LIST OF FINANCIAL INSTRUMENTS UNDER THE SCOPE OF CAIXABANK BEST EXECUTION POLICY.

Definition of each type of instrument according to Annex I of Delegated Regulation (UE) 2017/576.

Financial instruments	Execution service	Brokerage service	OTC Executions
Equities, shares and depositary receipts			
Equity	✓	✓	
ETFs	✓	✓	
Debt instruments			
Bonds	✓		✓
Money market instruments	✓	✓	
Interest rate derivatives			
Futures and options admitted to trading on a trading venue	✓		
Swaps, forwards and other interest rate derivatives			✓
Credit derivatives			
Futures and options admitted to trading on a trading venue		-	
Other credit derivatives			✓
Currency derivatives			
Futures and options admitted to trading on a trading venue		✓	
Swaps, forwards and other currency derivatives			✓
Structured finance			
Structured finance instruments	✓		✓
Equity derivatives			
Options and futures admitted to trading on a trading venue	✓	✓	
Swaps and other equity derivatives			✓
Securitized derivatives			
Warrants and certificate derivatives	✓		
Other securitized derivatives		-	
Commodities derivatives and emission allowance derivatives			
Options and futures admitted to trading on a trading venue		✓	
Other commodities derivatives and emission allowance derivatives			✓

Financial instruments	Execution service	Brokerage service	OTC Executions
Contracts for difference		-	
Exchange traded products (exchange traded funds, exchanged traded notes and exchanged traded commodities)		-	
Emission allowance			✓
Other instruments		-	

CAIXABANK, S.A.